Improving Interstate Offender Supervision and the Role of CJIS

Facilitator:
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Panel:
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Ms. MaryLee Underwood, Executive Director, Interstate Commission for Juveniles
Mr. Joe Mandala, Chief Information Officer, Kansas Bureau of Investigation

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Purpose of the Session

• Discuss how offenders under supervision that move to a different state are managed
• What can be done to make it easier to manage transferred offender cases
• Putting technology to work
• Role of State CJIS Resources
What’s the Problem

• Transferred supervised offender – who’s responsible/accountable
• How do supervision officials find out of an offender's encounter with law enforcement
• Time required by supervision officer to manage all cases
  – Check NCIC
  – Check State Warrants
  – Meet offenders, etc.
ICAOS FY2020 Warrant Audit

**Cases could not be audited**
In the FY2020 audit, 21% of cases could not be included in the audit due to complexities inherent in the use of NCIC.

**No access to NCIC**
In the FY2020 audit, 22% of compact offices reported an inability to manage or review warrants entered in NCIC due to access restrictions or adequate personnel.

**Verification limitations**
In the FY2020 audit, 11% of cases revealed limitations in verifying the date of warrant issuance or whether the warrant was compact compliant.
• **Scope of Cases: How many active transfers**

• **What is needed to solve the problem?**
  
  – Expand timely information available to law enforcement in the field.
  
  – Enhance safety and accountability by notifying supervising officers in the event of a supervised offender’s encounter with field law enforcement.
  
  – Establish an automated and auditable warrant status ‘check’ for supervised offenders.
  
  – Promote efficient distribution of information through consolidation of data from multiple state warrant databases along with NCIC status.
Updated and automated review of compact offender warrant status in NCIC.

Automatic notice to subscribers of triggering event, including:

- Warrant issuance in another state (NCIC wanted persons and 16 states that maintain state level warrant systems); and,

- Person of interest has contact with law enforcement that prompts a wants and warrants check in the SRF.

Automated update of the SRF, including non-compact offenders (option).
Initial meeting with Commissioner, state CJIS Security Officer (CSO), SEARCH and ICAOS national office.

Enter management control agreement. Established template which can be modified based on state’s requirements.

SEARCH establishes connection with state’s messaging system.

Notifications begin.
Interstate Commission for Juveniles

• **Scope of cases**
  – Transfers of Supervision
  – Returns of runaways, accused status offenders & delinquents, absconders, escapees
  – Travel permits

• **Additional considerations**
  – Data management system development
  – Criminal justice and social service agencies involved
  – Limited entry of juvenile records into NCIC
  – Juveniles and warrants
  – Juvenile privacy issues
Offender Interstate Transfer
Warrant Subscription/Notification Service
Offender Interstate Transfer
Warrant Subscription/Notification Service

(1a) Enter Offender Information

(1b) Offender Records sent to OIT-SNS

SEARCH SNS Hosted at Nlets/Nova

(3a) Query State Warrant Files (SWQ)

State CJIS Environment

Nlets

NCIC Wanted Persons File

NCIC Supervised Release File (SRF)

State Warrant Files

(SWQ/SWR)
Offender Interstate Transfer
Warrant Subscription/Notification Service

1. Enter Offender Information
2. Offender Records sent to OIT-SNS
3. Notification from SWR (SSL Email)
4. Query State Warrant Files (SWQ)
5. Nlets

Connections:
- ICOTS/UNITY
- SEARCH SNS Hosted at Nlets/Nova
- State CIJS Environment
- NCIC Wanted Persons File
- NCIC Supervised Release File (SRF)
- State Warrant Files
Offender Interstate Transfer Warrant Subscription/Notification Service

1a) Enter Offender Information

1b) Offender Records sent to OIT-SNS

SEARCH SNS Hosted at Nlets/Nova

4a) Add/Delete offender record in NCIC Supervised Release File (SRF)

State CJIS Environment

NCIC Supervised Release File (SRF)

NCIC Wanted Persons File

Nlets

State Warrant Files
Offender Interstate Transfer
Warrant Subscription/Notification Service
How the Notifications will Work

ICOTS – offender data is entered into the Compact system

States enroll in SEARCH SNS service hosted by NLETS using partial replicated ICOTS DB (nightly update)

SEARCH queries performed in a state level CJIS environment using a state ORI. State Warrant File Query (SWQ) thru NLETS and NCIC Wanted Persons File check performed

Subscriber notified thru SSL email of a possible match – attempts to confirm the validity of the warrant status returned

SRF ‘HIT’ notification received – transmitted back to SNS service & final distribution to end user

NCIC Supervised Release File (SRF) – offender data added/updated – may be added for ALL offenders
More Details on How it Works

• Daily file of offenders authorized to transfer
• The subscription database – how we know who to notify – get the supervision officers from sending and receiving states
• Easy from a technical aspect because of the data in ICOTS
• Supervised Release File – all supervised offenders
• Email server for notifications when a hit occurs
CJIS Compliance

• Infrastructure/security requirements
  – Nlets Nova hosting

• What we are doing in KS

• Why it is acceptable for CJIS agencies to join
  – Similar to any other vendor (CAD/RMS, live scan)

• How can other states use KS as a model to implement the SNS
Questions
Thank You

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